

**QUESTIONNAIRE FOR PREVENTING MONEY LAUNDERING AND TERRORIST FINANCING  
(LEGAL PERSON)**

**1. Data regarding a legal person participating in the transaction**

1.1.	Business name	
1.2.	Registry code	
1.3.	Main field of activity	
1.4.	Address	
1.5.	Telephone	
1.6.	E-mail	
1.7.	Webpage	

**2. Data regarding the representative of a legal person**

2.1.	Name of the representative	
2.2.	Personal identification code (or, if none, the date and place of birth)	
2.3.	Address (place of residence)	
2.4.	Telephone	
2.5.	E-mail	
2.6.	Basis of representation right	
2.7.	Is he/she performing or has he/she performed within previous 12 months prominent public functions or is he/she a close associate or a family member of a person performing or having performed within previous 12 months prominent public functions? <sup>1</sup>	<input type="checkbox"/> YES
		<input type="checkbox"/> NO
2.7.1.	If "yes" to question 2.7, please answer to one of the following options:	<input type="checkbox"/> Is performing or has performed within previous 12 months or is a close associate or a family member of a person performing or having performed within previous 12 months prominent public functions in Estonia or in the European Economic Area or a European Union institution
		<input type="checkbox"/> Is performing or has performed within previous 12 months or is a close associate or a family member of a person performing or having performed within previous 12 months prominent public functions outside of the European Economic Area

**3. Data regarding the ultimate beneficial owner(s)**

3.1.	Is (are) there any natural person(s) who ultimately own(s) or control(s) the legal entity (ultimate beneficial owner(s))? <sup>2</sup>	<input type="checkbox"/> YES
		<input type="checkbox"/> NO

3.2.	Please fill in the following data for each of the ultimate beneficial owner:		
3.2.1.	Name		
3.2.2.	Personal identification code (or, if none, the date and place of birth)		
3.2.3.	Address (place of residence)		
3.2.4.	Telephone		
3.2.5.	E-mail		
3.2.6.	Is he/she performing or has he/she performed within previous 12 months prominent public functions or is he/she a close associate or a family member of a person performing or having performed within previous 12 months prominent public functions? <sup>1</sup>	<input type="checkbox"/>	YES
		<input type="checkbox"/>	NO
3.2.6.1.	If "yes" to question 3.2.6, please answer to one of the following options:	<input type="checkbox"/>	Is performing or has performed within previous 12 months or is a close associate or a family member of a person performing or having performed within previous 12 months prominent public functions in Estonia or in the European Economic Area or a European Union institution
		<input type="checkbox"/>	Is performing or has performed within previous 12 months or is a close associate or a family member of a person performing or having performed within previous 12 months prominent public functions outside of the European Economic Area
<b>4. Data regarding the source and origin of funds</b>			
4.1.	Source and origin of funds for financing the transaction (origin of the money) (revenue from services rendered and (or) goods sold, borrowings, etc.)		

<input type="checkbox"/>	I, as the representative of the legal entity, hereby confirm that I or the legal entity or any of the ultimate beneficial owners are not a subject of any international sanctions designated in the legal act imposing or implementing international sanctions
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<sup>1</sup> Prominent public function:

- a head of state, head of government, minister and deputy or assistant minister;
- a member of parliament or of a similar legislative body, a member of a governing body of a political party, a member of a supreme court, a member of a court of auditors or of the board of a central bank;
- an ambassador, a chargé d'affaires and a high-ranking officer in the armed forces;
- a member of an administrative, management or supervisory body of a State-owned enterprise;
- a director, deputy director and member of the board or equivalent function of an international organisation, except middle-ranking or more junior officials.

Family member - a spouse, a child and their spouse, or a person considered to be equivalent to a spouse and a parent.

Close associate - a natural person who is known to be the beneficial owner or to have joint beneficial ownership of a legal person or a legal arrangement, or any other close business relations, with a person performing or having performed within previous 12 months prominent public functions and a natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a person performing or having performed within previous 12 months prominent public functions.

<sup>2</sup> Ultimate beneficial owner – a natural person who ultimately owns or controls a legal person through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that person, including through bearer shareholdings, or through control via other means. Direct ownership is a manner of exercising control whereby a natural person holds a shareholding of 25 per cent plus one share or an ownership interest of more than 25 per cent in a company. Indirect ownership is a manner of exercising control whereby a company which is under the control of a natural person holds or multiple companies which are under the control of the same natural person hold a shareholding of 25 per cent plus one share or an ownership interest of more than 25 per cent in a company.

Where, after all possible means of identification have been exhausted, and the ultimate beneficial owner(s) the cannot be identified and there is no doubt that such person exists or where there are doubts as to whether the identified person is a beneficial owner, the natural person who holds the position of a senior managing official in Estonian: „*kõrgema juhtorgani liige*“) is deemed as a beneficial owner.